



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

David GRIER et al.

Confirmation No.: 2318

Filed: September 22, 2003

Group Art Unit: 2872

Application No.: 10/605,319

Examiner: Arnel C. LAVARIAS

Patent No.: 7,227,688

Atty Dkt No: 71480.0011

Issue Date: June 5, 2007

Customer No.: 57362

For: APPARATUS FOR APPLYING OPTICAL GRADIENT FORCES

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**PETITION FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 3.81(b)**

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**MAIL STOP PETITIONS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

01/27/2010 MAHME1 00000055 500951 7227688

01 FC:1811 100.00 DA  
02 FC:1454 100.00 DA

On behalf of the Assignee of Record, and under the provisions of 37 CFR 3.81(b), the undersigned hereby petitions for a Certificate of Correction pursuant to 37 C.F.R. § 1.322 and/or § 1.323 to be issued on the above-entitled patent. The corrections are indicated, in appropriate form, on attached Form PTO/SB/44, which is submitted in duplicate. Please also find enclosed a USPTO Fee Transmittal Form, authorizing payment of \$100.00 for the Certificate of Correction fee under 37 CFR 1.20(a), and \$130.00 for the processing fee under 37 CFR 1.17(i).


The assignment of the Parent case (U.S. Patent Application No. 09/495,064, Patent No. 6,624,940) was recorded on March 24, 2002 at Reel 012922, Frame 0484. The assignment assigns the rights from The University of Chicago to Arch Development Corporation. As stated in the MPEP 306 "In the case of a division or continuation application,

a prior assignment recorded against the original application is applied >(effective)< to the division or continuation application because the assignment recorded against the original application gives the assignee rights to the subject matter common to both applications." Thus, the assignment was submitted for recordation as set forth in 37 CFR 3.11, before issuance of the patent in the parent application.

Please note that the Assignee listed in item 73 of the Patent was not conveyed as an Assignment of Assignors of Interest but was granted a Confirmatory License July 17, 2006, at Reel 017024, Frame 0624. The rights to the invention should still be considered held by Arch Development Corporation. Thus, item 73 should be listed as Arch Development Corporation.

For the foregoing reasons, it is respectfully requested that the Commissioner issue a Certificate of Correction making the aforementioned requested corrections. The Examiner is invited to call the undersigned if clarification is required.

The Commissioner is directed and authorized to charge any additional fees or any underpayment of fees which may be required to maintain the pendency of this case or credit any overpayment to Deposit Account No. 50-0951.

  
Jean C. Edwards  
Registration No. 41,728

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Suite 700  
Vienna, VA 22182  
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**Date: January 26, 2010**

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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PATENT NO. : 7,227,688 B2

APPLICATION NO.: 10/605,319

ISSUE DATE : January 7, 2007

INVENTOR(S) : David G. GRIER and Eric R. DUFRESNE

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- The assignee as currently stated on the patent on page 1, Item 73 is the National Science Foundation. Please correct the assignee to be listed as --ARCH DEVELOPMENT CORPORATION--.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Jean C. Edwards, AKERMAN SENTERFITT  
8100 Boone Boulevard, Suite 700  
Vienna, VA 22182-2683

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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